



GRETCHEN WHITMER
GOVERNOR

STATE OF MICHIGAN
DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
LANSING

ORLENE HAWKS
DIRECTOR

October 20, 2021

Lee Donaldson
North Haven AFC, Inc.
13505 State HWY M28
Newberry, MI 49868

RE: License #: AS480339035
North Haven AFC
13505 State Hwy M-28
Newberry, MI 49868

Dear Mr. Donaldson:

Attached is the Renewal Licensing Study Report for the facility referenced above. The violations cited in the report require the submission of a written corrective action plan. The corrective action plan is due 15 days from the date of this letter and must include the following:

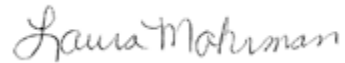
- How compliance with each rule will be achieved.
- Who is directly responsible for implementing the corrective action for each violation.
- Specific dates for each violation as to when the correction will be completed or implemented.
- How continuing compliance will be maintained once compliance is achieved.
- The signature of the licensee or licensee designee or home for the aged authorized representative and a date.

A six-month provisional license is recommended. If you do not contest the issuance of a provisional license, you must indicate so in writing; this may be included in your corrective action plan or in a separate document. If you contest the issuance of a provisional license, you must notify this office in writing and an administrative hearing

will be scheduled. Even if you contest the issuance of a provisional license, you must still submit an acceptable corrective action plan within 15 days.

Please contact me with any questions. In the event that I am not available and you need to speak to someone immediately, you may contact the local office at (906) 226-4171.

Sincerely,

A handwritten signature in cursive script that reads "Laura Mohrman".

Laura Mohrman, Licensing Consultant
Bureau of Community and Health Systems
234 W. Baraga Ave.
Marquette, MI 49855
(906) 290-3428

**MICHIGAN DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
BUREAU OF COMMUNITY AND HEALTH SYSTEMS
RENEWAL INSPECTION REPORT**

I. IDENTIFYING INFORMATION

License #: AS480339035

Licensee Name: North Haven AFC, Inc.

Licensee Address: 13505 State HWY M28
Newberry, MI 49868

Licensee Telephone #: (906) 293-5052

Licensee/Licensee Designee: Lee Donaldson, Designee

Administrator: Joanne Donaldson

Name of Facility: North Haven AFC

Facility Address: 13505 State Hwy M-28
Newberry, MI 49868

Facility Telephone #: (906) 293-6620

Original Issuance Date: 05/24/2013

Capacity: 6

Program Type: DEVELOPMENTALLY DISABLED
MENTALLY ILL
AGED

II. METHODS OF INSPECTION

Date of On-site Inspection(s): 10/12/2021

Date of Bureau of Fire Services Inspection if applicable:

Date of Health Authority Inspection if applicable: 10/18/2021

Inspection Type: Interview and Observation Worksheet
 Combination Full Fire Safety

No. of staff interviewed and/or observed 1
No. of residents interviewed and/or observed 2
No. of others interviewed [redacted] Role: [redacted]

- Medication pass / simulated pass observed? Yes No If no, explain.
- Medication(s) and medication record(s) reviewed? Yes No If no, explain.
- Resident funds and associated documents reviewed for at least one resident? Yes No If no, explain.
- Meal preparation / service observed? Yes No If no, explain.
- Fire drills reviewed? Yes No If no, explain.
- Fire safety equipment and practices observed? Yes No If no, explain.
- E-scores reviewed? (Special Certification Only) Yes No N/A If no, explain.
- Water temperatures checked? Yes No If no, explain.
- Incident report follow-up? Yes No If no, explain.
- Corrective action plan compliance verified? Yes CAP date/s and rule/s: N/A
- Number of excluded employees followed-up? N/A
- Variances? Yes (please explain) No N/A

III. DESCRIPTION OF FINDINGS & CONCLUSIONS

This facility was found to be in non-compliance with the following rules:

R 400.14201 **Qualifications of administrator, direct care staff, licensee, and members of the household; provision of names of employee, volunteer, or member of the household on parole or probation or convicted of felony; food service staff.**

(12) A licensee, direct care staff, administrator, or members of the household shall not be the legal guardian or conservator of a resident who lives in the home, except if a person is a relative of the resident or if the guardianship or conservatorship of the licensee existed before April 1, 1989, as specified in section 454 of Act No. 642 of the Public Acts of 1978, as amended, being {700.454 of the Michigan Compiled Laws.

The administrator's son is the Guardian for Resident A and he is also a staff person in the facility.

R 400.14204 **Direct care staff; qualifications and training.**

(3) A licensee or administrator shall provide in-service training or make training available through other sources to direct care staff. Direct care staff shall be competent before performing assigned tasks, which shall include being competent in all of the following areas:

- (a) Reporting requirements.**
- (b) First aid.**
- (c) Cardiopulmonary resuscitation.**
- (d) Personal care, supervision, and protection.**
- (e) Resident rights.**
- (f) Safety and fire prevention.**
- (g) Prevention and containment of communicable diseases.**

The Licensee and Administrator are not current on their annual trainings.

Repeat violation from the 2019 renewal inspection.

R 400.14301 Resident admission criteria; resident assessment plan; emergency admission; resident care agreement; physician's instructions; health care appraisal.

(4) At the time of admission, and at least annually, a written assessment plan shall be completed with the resident or the resident's designated representative, the responsible agency, if applicable, and the licensee. A licensee shall maintain a copy of the resident's written assessment plan on file in the home.

Resident B's assessment has not been updated annually.

R 400.14301 Resident admission criteria; resident assessment plan; emergency admission; resident care agreement; physician's instructions; health care appraisal.

(9) A licensee shall review the written resident care agreement with the resident or the resident's designated representative and responsible agency, if applicable, at least annually or more often if necessary.

The Resident Care Agreements have not been completed annually.

Repeat violation from the 2019 renewal

R 400.14310 Resident health care.

(3) A licensee shall record the weight of a resident upon admission and monthly thereafter. Weight records shall be kept on file for 2 years.

The facility has not completed weight records for several months.

R 400.14312 Resident medications.

(4) When a licensee, administrator, or direct care staff member supervises the taking of medication by a resident, he or she shall comply with all of the following provisions:

(a) Be trained in the proper handling and administration of medication.

(b) Complete an individual medication log that contains all of the following information:

(i) The medication.

(ii) The dosage.

(iii) Label instructions for use.

- (iv) Time to be administered.
- (v) The initials of the person who administers the medication, which shall be entered at the time the medication is given.
- (vi) A resident's refusal to accept prescribed medication or procedures.

The resident MARS had not been completed since June 2021. Mrs. Donaldson stated she "was working on them" I let her know that they are not something you work on and that they should be completed daily after each medication pass. I counted some of the medications to determine if residents were getting their medications. The prescriptions were filled on 9/16/2021 and some of the bottles had 42 pills in them. The original prescription was for 31 pills. Mrs. Donaldson could not explain why there were so many pills. She did state that she pours the remaining pills from one bottle into the new prescription. The October prescriptions would be arriving in the next few days and the residents had many pills left.

R 400.14313 Resident nutrition.

- (4) Menus of regular diets shall be written at least 1 week in advance and posted. Any change or substitution shall be noted and considered as part of the original menu.

The facility did not have the menus posted.

R 400.14315 Handling of resident funds and valuables.

- (13) A licensee shall provide a complete accounting, on an annual basis and upon request, of all resident funds and valuables which are held in trust and in bank accounts or which are paid to the home, to the resident, or to his or her designated representative. The accounting of a resident's funds and valuables which are held in trust or which are paid to the home shall also be provided, upon the resident's or designated representative's request, not more than 5 banking days after the request and at the time of the resident's discharge from the home.

The resident funds sheets had not been updated to reflect current deposit and with draws since June 2021.

R 400.14315 Handling of resident funds and valuables.

- (5) All resident funds, which includes bank accounts, shall be kept separate and apart from all funds and monies of the licensee. Interest and dividends earned on resident funds shall

be credited to the resident. Payments for care for the current month may be used by the licensee for operating expenses.

I asked Mrs. Donaldson about the resident stimulus money, and she stated that none of the residents received the stimulus monies. I asked how their money is paid to the facility and she stated it gets deposited into the North Haven bank account and she takes out their spending money. I asked her to see the statements and she stated she did not have them as she had just sent them with her accountant. I asked her to have the statements sent to me, I have not received the statements. The facility does not have a separate account for the residents. All monies are deposited into the account owned by the Licensee.

R 400.14318 Emergency preparedness; evacuation plan; emergency transportation.

(5) A licensee shall practice emergency and evacuation procedures during daytime, evening, and sleeping hours at least once per quarter. A record of the practices shall be maintained and be available for department review.

The facility has not completed a fire drill since October 2020.

Exit conference: On October 15, 2021, I called and talked to Lee Donaldson. I let him know that I would be recommending a provisional license based on the violations found during my inspection. I also again asked him to send me copies of the bank statements.

IV. RECOMMENDATION

I recommend modification of the current status of the license to provisional.

Laura Mohrman

10/20/2021

Laura Mohrman
Licensing Consultant

Date