



GRETCHEN WHITMER
GOVERNOR

STATE OF MICHIGAN
DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
LANSING

ORLENE HAWKS
DIRECTOR

April 19, 2021

Karen LaFave
Adult Learning Systems - UP, Inc
290 Rublien St, Suite F
Marquette, MI 49855

RE: License #: AS220405666
Investigation #: 2021A0221009
Riverview

Dear Ms. LaFave:

Attached is the Special Investigation Report for the above referenced facility. Due to the violation identified in the report, a written corrective action plan is required. The corrective action plan is due 15 days from the date of this letter and must include the following:

- How compliance with the rule will be achieved.
- Who is directly responsible for implementing the corrective action for the violation.
- Specific time frames for the violation as to when the correction will be completed or implemented.
- How continuing compliance will be maintained once compliance is achieved.
- The signature of the responsible party and a date.

If you desire technical assistance in addressing these issues, please feel free to contact me. In any event, the corrective action plan is due within 15 days. Failure to submit an acceptable corrective action plan will result in disciplinary action.

Please review the enclosed documentation for accuracy and contact me with any questions. In the event that I am not available and you need to speak to someone immediately, please contact the local office at (906) 226-4171.

Sincerely,

A handwritten signature in black ink, appearing to read "Theresa Norton". The signature is fluid and cursive, with the first name "Theresa" and last name "Norton" clearly distinguishable.

Theresa Norton, Licensing Consultant
Bureau of Community and Health Systems
234 West Baraga
Marquette, MI 49855
(906) 280-2519

enclosure

**MICHIGAN DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
BUREAU OF COMMUNITY AND HEALTH SYSTEMS
SPECIAL INVESTIGATION REPORT**

I. IDENTIFYING INFORMATION

| | |
|---------------------------------------|--|
| License #: | AS220405666 |
| Investigation #: | 2021A0221009 |
| Complaint Receipt Date: | 04/01/2021 |
| Investigation Initiation Date: | 04/01/2021 |
| Report Due Date: | 05/31/2021 |
| Licensee Name: | Adult Learning Systems - UP, Inc |
| Licensee Address: | 290 Rublien St, Suite F Marquette, MI 49855 |
| Licensee Telephone #: | (906) 228-7370 |
| Administrator: | Karen LaFave |
| Licensee Designee: | Karen LaFave |
| Name of Facility: | Riverview |
| Facility Address: | 1336 Riverview Drive Kingsford, MI 49802 |
| Facility Telephone #: | (906) 828-1518 |
| Original Issuance Date: | 01/11/2021 |
| License Status: | TEMPORARY |
| Effective Date: | 01/11/2021 |
| Expiration Date: | 07/10/2021 |
| Capacity: | 6 |
| Program Type: | PHYSICALLY HANDICAPPED DEVELOPMENTALLY DISABLED MENTALLY ILL |

| | |
|--|-----------------------------|
| | TRAUMATICALLY BRAIN INJURED |
|--|-----------------------------|

II. ALLEGATION(S)

| | Violation Established? |
|--|------------------------|
| Staff MayAnn Curry gave Resident A a Covid-19 vaccine without being trained. | Yes |
| Additional Findings | No |

III. METHODOLOGY

| | |
|------------|---|
| 04/01/2021 | Special Investigation Intake 2021A0221009 |
| 04/01/2021 | Special Investigation Initiated - Telephone Phone call to Sherry Dysel, R.N. ALS. |
| 04/01/2021 | Contact - Telephone call made Phone call to Katie Smith, Northpointe, |
| 04/06/2021 | Contact - Telephone call received Phone call from Katie Smith, RRO Northpointe. |
| 04/12/2021 | Contact - Document Received Written statement received from Staff MayAnn Curry. |
| 04/15/2021 | Contact - Telephone call made Interview with Staff Samantha Kappell. |
| 04/15/2021 | Contact - Telephone call made Interview with Public Health Nurse Angela Applekamp, Dickinson County Health Department. |
| 04/15/2021 | Exit Conference Exit interview with Licensee Designee Karen LaFave Adult Learning Systems. |

| | |
|------------|--|
| 04/19/2021 | Contact - Telephone call made Interview with Staff MayAnn Curry. |
| 04/19/2021 | Contact - Telephone call made Face Time interview with Home Manager Andrew Pritchard and observation of Resident A. |
| 04/19/2021 | Exit Conference Second exit interview with Licensee Designee Karen LaFave Adult Learning Systems. |

ALLEGATION: Staff MayAnn Curry gave Resident A Covid-19 vaccine without being trained.

INVESTIGATION: On 04/01/2021, The complainant stated that a staff member from Riverview AFC, "Gave a Covid-19 vaccine to Resident A on 03/25/2021, without being properly trained."

An onsite inspection was not conducted due to COVID-19.

On 04/01/2021, this consultant interviewed Sherry Dysel, R.N. from Adult Learning Systems. Ms. Dysel is the nurse on staff for all of the ALS Homes. She is responsible for ensuring training of all staff concerning medication administration and medical procedures.

Ms. Dysel stated that staff MayAnn Curry had told staff of the home that she gave the Covid-19 vaccine to Resident A on 03/25/2021. Ms. Dysel stated that on 03/25/2021, Staff MayAnn Curry and Staff Samantha Kappell took 3 residents to the Norway Fairgrounds to receive their second doses of the Covid-19 vaccine. The vaccines were given by the Dickinson County Health Department nurses. Ms. Dysel stated she contacted the nurse that was giving the vaccines to the residents that day. She stated she spoke with Angela Applekamp, R.N., Dickinson County Health Department. R.N. Applekamp stated that she had entered the van with Resident A to administer the vaccine. R.N. Applekamp stated that she was trying to get to the back of the van and Ms. Curry was blocking the area so that she was unable to get to Resident A. Ms. Dysel stated R.N. Applekamp told her that Staff Curry told her she 'give the shots all the time'. R.N. Applekamp stated she directed Ms. Curry where to give the shot in Resident A's arm and Ms. Curry did give the vaccine. Ms. Dysel stated R.N. Applekamp felt 'horrible' as she assumed Staff Curry was trained

and told her she was. Ms. Dysel stated Ms. Curry was suspended immediately pending an investigation.

Staff MayAnn Curry told Ms. Dysel she was trained in Wisconsin to give injections. Ms. Dysel told her that it did not matter as the facility did not train her. Specifically, to administer an IM (Intra Muscular) injection such as the Covid-19 vaccine.

On 04/19/2021, Staff Curry's training records were reviewed. Ms. Curry was hired on 02/02/2021 and was fully trained by the facility except in medication administration. Ms. Curry was in the process of being trained in medication administration during the 03/25/2021 incident.

On 04/12/2021, a written statement was received from Staff MayAnn Curry. Ms. Curry explained that she was in the back of the van with Resident A on 03/25/2021 at the fairgrounds in Norway, MI, with R.N. Applekamp. Ms. Curry wrote that the nurse asked her for help to free Resident A's arm. Ms. Curry stated she did 'free (Resident A's) arm and asked the nurse if she needed any other help. Ms. Curry then writes, *"She (R.N. Applekamp) said she couldn't do it because of the awkward angle and asked me to give the shot. With this being said I said I'm not sure I could. She told me since she was there and a nurse, it would be fine. I then gave the shot being the nurse asked me."*

On 04/19/2021, this consultant interviewed Staff MayAnn Curry via telephone to verify the above written statement. Ms. Curry was asked about the statement she had submitted concerning the events of 03/25/2021. Ms. Curry stated the statement she submitted was "all true". Ms. Curry stated, "I don't know what that nurse told you, but she told me to give (Resident A) the shot.". When questioned about training, Ms. Curry stated she is trained to give medications and injections in Wisconsin and is on a registry in that state. This consultant asked if Adult Learning Systems had trained her. Ms. Curry said, "No, that's what I think the problem is."

On 04/15/2021, this consultant interviewed Staff Samantha Kappell. Ms. Kappell stated that she and staff Curry did take 3 residents to get their Covid-19 vaccines on 03/25/2021 at the Norway fairgrounds. Ms. Kappell stated she did observe the nurse coming into the van to vaccinate Resident A due to the fact that Resident A was in a wheelchair. Ms. Kappell stated that she did observe Ms. Curry in the back of the van also. Ms. Kappell stated she did not witness Ms. Curry giving the shot. Ms. Kappell stated the next day, Ms. Curry stated to her and two other staff members that she gave Resident A his Covid-19 vaccine because 'the nurse couldn't do it'. Ms. Kappell stated she was 'very surprised' at this because none of the staff of the home are trained in giving injections.

On 04/15/2021, this consultant interviewed R.N. Angela Applekamp, Public Health Nurse with the Dickinson County Health Department. R.N. Applekamp stated she did get into the van on 03/25/2021 at the Norway fairgrounds to administer a Covid-19 vaccine to Resident A. R.N. Applekamp stated that Staff Curry was in the back of

the van with Resident A. R.N. Applekamp stated she asked Ms. Curry to remove Resident A's jacket and long sleeve shirt on his right side. R.N. Applekamp stated Ms. Curry removed the clothing on Resident A's left side. R.N. Applekamp then stated she told Ms. Curry to move out of the way in order for her to administer the shot. R.N. Applekamp stated Ms. Curry stated, "Just give it to me, I do it all the time." R.N. Applekamp then held her fingers in the area that needed to be injected and Ms. Curry administered the shot in Resident A's left arm. R.N. Applekamp stated she felt "terrible" after speaking with R.N. Dysel and found out that Ms. Curry was not trained to administer the vaccine. R.N. Applekamp stated there have been instances in Nursing facilities, etc, where staff (R.N.'s) have administered the vaccine due to residents feeling more comfortable with them. R.N. Applekamp stated she assumed the staff from Riverview were trained and Ms. Curry stated she was.

On 04/19/2021, Resident A was observed by this consultant via Face Time video. Resident A is non-verbal, but his arm was observed to be free of any mark or swelling. Home Manager Andrew Pritchard stated Resident A had no physical or behavioral effects of having the Covid-19 injection given by Ms. Curry. Mr. Pritchard stated there was a red area for a few days at the site of the injection. Mr. Pritchard produced pictures of Resident A's arm taken a few days after the injection where the arm area looked red and inflamed. Mr. Pritchard stated R.N. Dysel was aware of the redness and was monitoring it, noting the redness is a side effect of the vaccine in some people.

On 04/15/2021 and 04/19/2021, exit interviews were conducted with Licensee Designee Karen LaFave explaining the findings of this report and the need for a corrective action plan.

| APPLICABLE RULE | |
|------------------------|---|
| R 400.14312 | Resident medications. |
| | (4) When a licensee, administrator, or direct care staff member supervises the taking of medication by a resident, he or she shall comply with all the following provisions: (a) Be trained in the proper handling and administration of medication. |
| ANALYSIS: | Staff MayAnn Curry did administer a Covid-19 vaccine to Resident A in his arm on 03/25/2021. Staff Curry was not trained by the facility to give IM (Intra-muscular) injections to residents, nor was she fully trained in administering any medications to residents. Staff Curry did not possess the training to administer the Covid-19 vaccine to Resident A. |
| CONCLUSION: | VIOLATION ESTABLISHED |

IV. RECOMMENDATION

Upon receipt of a corrective action plan, I recommend no change to the status of this license.

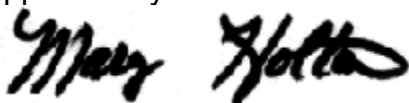


04/19/2021

Theresa Norton
Licensing Consultant

Date

Approved By:



4/19/2021

Mary E Holton
Area Manager

Date